S A W P A

SANTA ANA WATERSHED PROJECT AUTHORITY

11615 Sterling Avenue, Riverside, California 92503 • (951) 354-4220

SPECIAL NOTICE REGARDING CORONAVIRUS DISEASE 2019 (COVID-19) AND PARTICIPATION IN PUBLIC MEETINGS

On March 4, 2020, Governor Newsom declared a State of Emergency resulting from the threat of COVID-19. Governor Newsom issued Executive Order N-25-20 (3-12-20) and Executive Order N-29-20 (3-17-20) which temporarily suspend portions of the Brown Act relative to conducting of public meetings. Subsequent thereto, Governor Newsom issued Executive Order N-33-20 (3-19-20) ordering all individuals to stay at home or at their place of residence. Accordingly, it has been determined that all Board and Committee meetings of the Santa Ana Watershed Project Authority held pursuant to the Brown Act will be conducted virtually, including meetings of the:

- SAWPA Commission
- PA 22, PA 23, and PA 24 Committees
- OWOW Steering Committee

REGULAR MEETING OF THE COMMISSION TUESDAY, JULY 7, 2020 – 9:30 A.M.

PUBLIC PARTICIPATION

Public participation is welcome and encouraged. You may participate in the July 7, 2020 meeting of the SAWPA Commission by telephone* and virtually through the Zoom app as follows:

Meeting Access Via Computer (Zoom):	Meeting Access Via Telephone*:
• https://sawpa.zoom.us/j/92670013722	• 1 (669) 900-6833
• Meeting ID: 926 7001 3722	• Meeting ID: 926 7001 3722

If you are unable to participate by telephone* or virtually, you may also submit your comments and questions in writing for the Commission's consideration by sending them to publiccomment@sawpa.org with the subject line "Public Comment Item #" (insert the agenda item number relevant to your comment) or "Public Comment Non-Agenda Item". Submit your written comments by 6:00 p.m. on Monday, July 6, 2020. All public comments will be provided to the Chair and may be read into the record or compiled as part of the record.

***IMPORTANT PRIVACY NOTE: Participation in the meeting via the Zoom app is strongly encouraged. Please keep in mind: (1) This is a public meeting; as such, the virtual meeting information is published on the World Wide Web and available to everyone. (2) Should you participate remotely via telephone, your telephone number will be your "identifier" during the meeting and available to all meeting participants. Participation in the meeting via the Zoom app is strongly encouraged; there is no way to protect your privacy if you elect to call in to the meeting. The Zoom app is a free download.**

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S A W P A

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11615 Sterling Avenue, Riverside, California 92503 • (951) 354-4220

PURSUANT TO THE PROVISIONS OF EXECUTIVE ORDER N-25-20 ISSUED BY GOVERNOR GAVIN NEWSOM ON MARCH 12, 2020, AND EXECUTIVE ORDER N-29-20 ISSUED BY GOVERNOR GAVIN NEWSOM ON MARCH 17, 2020, ANY COMMISSION MEMBER MAY CALL INTO THE COMMISSION MEETING WITHOUT OTHERWISE COMPLYING WITH THE BROWN ACT'S TELECONFERENCING REQUIREMENTS.

VIRTUAL ACCESSIBILITY FOR THE GENERAL PUBLIC:

Due to the spread of COVID-19, and until further notice, the Santa Ana Watershed Project Authority will be holding all upcoming Board and Committee meetings by teleconferencing and virtually through Zoom.

This meeting will be accessible as follows:

Meeting Access Via Computer (Zoom)*:	Meeting Access Via Telephone*:
• https://sawpa.zoom.us/j/92670013722	• 1 (669) 900-6833
• Meeting ID: 926 7001 3722	• Meeting ID: 926 7001 3722
*Participation in the meeting via the Zoom app (a free download) is strongly encouraged; there is no way to protect your privacy if you elect to call in by phone to the meeting.	

All votes taken during this meeting will be conducted by oral roll call.

REGULAR COMMISSION MEETING
TUESDAY, JULY 7, 2020 – 9:30 A.M.

AGENDA

1. **CALL TO ORDER/PLEDGE OF ALLEGIANCE (David J. Slawson, Chair)**

2. **ROLL CALL**

3. **PUBLIC COMMENTS**

   Members of the public may address the Commission on items within the jurisdiction of the Commission; however, no action may be taken on an item not appearing on the agenda unless the action is otherwise authorized by Government Code §54954.2(b).

4. **ITEMS TO BE ADDED OR DELETED**

   Pursuant to Government Code §54954.2(b), items may be added on which there is a need to take immediate action and the need for action came to the attention of the Santa Ana Watershed Project Authority subsequent to the posting of the agenda.

5. CONSENT CALENDAR

All matters listed on the Consent Calendar are considered routine and non-controversial and will be acted upon by the Commission by one motion as listed below.

A. APPROVAL OF MEETING MINUTES: JUNE 16, 2020 7
Recommendation: Approve as posted.

B. TREASURER'S REPORT – MAY 2020 13
Recommendation: Approve as posted.

6. NEW BUSINESS

A. SAWPA PARKING LOT RESTORATION (CM#2020.47) 19
Presenter: Carlos Quintero
Recommendation: Approve the use of $23,776.16 from the Building Reserve Fund for the SAWPA Parking Lot Restoration Project.

B. TRIBAL ADVISORY COMMITTEE STATUS | DISADVANTAGED COMMUNITIES INVOLVEMENT (DCI) PROGRAM (CM#2020.46) 33
[This item is subject to the provisions of Project Agreement 25]
Presenter: Mark Norton | Thomas Keegan, California Rural Water Association
Recommendation: Receive and file; provide feedback and direction.

C. LAKE ELSINORE & SAN JACINTO WATERSHEDS AUTHORITY AND LAKE ELSINORE/CANYON LAKE NUTRIENT TMDL TASK FORCE UPDATE AND FYE 2021 GOALS (CM#2020.45) 59
[This item is subject to the provisions of Project Agreement 26]
Presenter: Mark Norton
Recommendation: Receive and file.

7. INFORMATIONAL REPORTS

Recommendation: Receive for information.

A. AUDIT COMMUNICATION LETTER FOR FYE JUNE 30, 2020 – TEAMAN, RAMIREZ & SMITH, INC. 87
Presenter: Karen Williams

B. CHAIR'S COMMENTS/REPORT

C. COMMISSIONERS' COMMENTS

D. COMMISSIONERS' REQUEST FOR FUTURE AGENDA ITEMS

8. CLOSED SESSION

There were no Closed Session items anticipated at the time of the posting of this agenda.

9. ADJOURNMENT

Americans with Disabilities Act: If you require any special disability related accommodations to participate in this meeting, call (951) 354-4230 or email kberry@sawpa.org. 48-hour notification prior to the meeting will enable staff to make reasonable arrangements to ensure accessibility for this meeting. Requests should specify the nature of the disability and the type of accommodation requested.

Materials related to an item on this agenda submitted to the Commission after distribution of the agenda packet are available for public inspection during normal business hours at the SAWPA office, 11615 Sterling Avenue, Riverside, and available at www.sawpa.org, subject to staff's ability to post documents prior to the meeting.

Declaration of Posting
I, Kelly Berry, Clerk of the Board of the Santa Ana Watershed Project Authority declare that on July 1, 2020, a copy of this agenda has been uploaded to the SAWPA website at www.sawpa.org and posted at the SAWPA office, 11615 Sterling Avenue, Riverside, California.

/s/
_____
Kelly Berry, CMC

2020 SAWPA Commission Meetings/Events

First and Third Tuesday of the Month
(NOTE: Unless otherwise noticed, all Commission Workshops/Meetings begin at **9:30 a.m.** and are held at SAWPA.)

January	**February**
1/7/20 ~~Commission Workshop~~ [cancelled] 1/21/20 Regular Commission Meeting	2/4/20 Commission Workshop 2/18/20 Regular Commission Meeting
March	**April**
3/3/20 Commission Workshop 3/17/20 Regular Commission Meeting	4/7/20 Commission Workshop 4/21/20 Regular Commission Meeting
May	**June**
5/5/20 Commission Workshop 5/19/20 Regular Commission Meeting	6/2/20 ~~Commission Workshop~~ [cancelled] 6/16/20 Regular Commission Meeting
July	**August**
7/7/20 Commission Workshop 7/21/20 Regular Commission Meeting 7/28 – 7/31/20 ACWA Spring Conference, Monterey	8/4/20 Commission Workshop 8/18/20 Regular Commission Meeting
September	**October**
9/1/20 Commission Workshop 9/15/20 Regular Commission Meeting	10/6/20 Commission Workshop 10/20/20 Regular Commission Meeting
November	**December**
11/3/20 Commission Workshop 11/17/20 Regular Commission Meeting	12/1/20 Commission Workshop 12/1 – 12/4/20 ACWA Fall Conference, Indian Wells 12/15/20 Regular Commission Meeting

SAWPA COMPENSABLE MEETINGS

IMPORTANT NOTE: Due to the spread of COVID-19, and until further notice, the Santa Ana Watershed Project Authority will be holding all upcoming meetings by teleconferencing. Participation information will be included on each posted agenda or meeting notice.

Commissioners and Alternate Commissioners will receive compensation for attending the meetings listed below, pursuant to the Commission Compensation, Expense Reimbursement, and Ethics Training Policy.

IMPORTANT NOTE: These meetings are subject to change. Prior to attending any meetings listed below, please confirm meeting details by viewing the website calendar using the following link:

https://sawpa.org/sawpa-calendar/

MONTH OF: July 2020

DATE	TIME	MEETING DESCRIPTION	LOCATION
7/7/20	10:00 AM	PA 24 Committee Mtg	VIRTUAL/TELEPHONICALLY
7/14/20	8:30 AM	PA 22 Committee Mtg	VIRTUAL/TELEPHONICALLY
7/23/20	11:00 AM	OWOW Steering Committee Mtg	VIRTUAL/TELEPHONICALLY

MONTH OF: August 2020

DATE	TIME	MEETING DESCRIPTION	LOCATION
8/4/20	8:30 AM	PA 23 Committee Mtg	PENDING
8/4/20	10:00 AM	PA 24 Committee Mtg	PENDING
8/11/20	8:30 AM	PA 22 Committee Mtg	PENDING
8/12/20	1:00 PM	Basin Monitoring Program Task Force Mtg	PENDING
8/18/20	1:30 PM	MSAR TMDL/Regional WQ Monitoring Task Force	PENDING
8/20/20	4:00 AM	LESJWA Board of Directors Mtg	PENDING

Please Note : We strive to ensure the list of Compensable Meetings set forth above is accurate and up-to-date; the list is compiled based on input from SAWPA staff and Department Managers regarding meeting purpose and content.

SAWPA COMMISSION
REGULAR MEETING MINUTES
JUNE 16, 2020

COMMISSIONERS PRESENT

David J. Slawson, Chair, Eastern Municipal Water District
Kati Parker, Vice Chair, Inland Empire Utilities Agency
Kelly E. Rowe, Secretary-Treasurer, Orange County Water District
Brenda Dennstedt, Western Municipal Water District
T. Milford Harrison, San Bernardino Valley Municipal Water District

COMMISSIONERS ABSENT None

ALTERNATE COMMISSIONERS PRESENT; NON-VOTING None

STAFF PRESENT Rich Haller, Karen Williams, Carlos Quintero, Mark Norton, David Ruhl, Pete Vitt, Rick Whetsel, Ian Achimore, Kelly Berry

OTHERS PRESENT Andrew D. Turner, Lagerlof, LLP

The Regular Commission meeting of the Santa Ana Watershed Project Authority was called to order at 9:31 a.m. by Chair Slawson on behalf of the Santa Ana Watershed Project Authority, 11615 Sterling Avenue, Riverside, California. The record will reflect this meeting was conducted virtually.

1. CALL TO ORDER/PLEDGE OF ALLEGIANCE

Pursuant to the provisions of Executive Order N-25-30 issued by Governor Gavin Newsom on March 12, 2020, and Executive Order N-29-20 issued by Governor Gavin Newsom on March 17, 2020, any Commission member may call into the Commission meeting without otherwise complying with the Brown Act's teleconferencing requirements.

Executive Order N-33-20 issued by Governor Gavin Newsom on March 19, 2020, ordered all individuals to stay at home or at their place of residence. In concert with state and local efforts to prevent the spread of COVID-19, and until further notice, the Santa Ana Watershed Project Authority will be holding all Board and Committee meetings by teleconference and virtually through the Zoom app.

As set forth on the posted meeting agenda, this Commission meeting was accessible to the public by teleconference and through Zoom. Members of the public who were unable to participate by teleconference or virtually were invited to submit comments and questions in writing via email for the Commission's consideration. All votes taken during this meeting were conducted via oral roll call.

2. ROLL CALL

An oral roll call was duly noted and recorded by the Clerk of the Board.

3. PUBLIC COMMENTS

There were no public comments; there were no public comments received via email.

4. ITEMS TO BE ADDED OR DELETED

There were no added or deleted items.

5. CONSENT CALENDAR

A. APPROVAL OF MEETING MINUTES: MAY 19, 2020

Recommendation: Approve as posted.

B. TREASURER'S REPORT – APRIL 2020

Recommendation: Approve as posted.

MOVED, approve the Consent Calendar.

Result:	**Adopted by Roll Call Vote (Unanimously)**
Motion/Second:	Dennstedt/Harrison
Ayes:	Dennstedt, Harrison, Parker, Rowe, Slawson
Nays:	None
Abstentions:	None
Absent:	None

6. NEW BUSINESS

A. STATEMENT OF INVESTMENT POLICY (CM#2020.38)

Karen Williams provided an oral report on the Statement of Investment Policy. Vice Chair Parker asked if investments would change or remain the same; Williams noted the investments would remain the same. Staff brings the Statement of Investment Policy (SOIP) before the Commission annually for consideration pursuant to Government Code provisions.

MOVED, adopt Resolution No. 2020-07, approving the Statement of Investment Policy and delegate authority to the Chief Financial Officer to invest or reinvest funds consistent with the Statement of Investment Policy.

Result:	**Adopted by Roll Call Vote (Unanimously)**
Motion/Second:	Harrison/Parker
Ayes:	Dennstedt, Harrison, Parker, Rowe, Slawson
Nays:	None
Abstentions:	None
Absent:	None

B. INLAND EMPIRE BRINE LINE RATE RESOLUTION (CM#2020.43)

Carlos Quintero provided the PowerPoint presentation contained in the agenda packet on pages 47 – 60. Karen Williams noted that she has obtained all member agency reserve policies. After the criticality study, she will work with the member agency CFOs to review the brine line reserve accounts.

MOVED, adopt Resolution No. 2020-06 establishing the new Inland Empire Brine Line rates to be effective July 1, 2020 (FY20-21).

Result:	**Adopted by Roll Call Vote (Passed)**
Motion/Second:	Dennstedt/Parker
Ayes:	Dennstedt, Harrison, Parker, Slawson
Nays:	None
Abstentions:	Rowe
Absent:	None

C. TASK ORDER APPROVAL FOR THE ROUNDTABLE OF REGIONS NETWORK COORDINATOR (CM#2020.39)

[This item is subject to the provisions of Project Agreement 25]

Ian Achimore provided a PowerPoint presentation contained in the agenda packet on pages 99 – 113. Achimore confirmed for Commissioner Dennstedt that Sierra Water Workgroup has provided notice to SAWPA that they are unable to complete the contract. Commissioner Rowe noted the Roundtable of Regions presents an opportunity for SAWPA to interact with legislators and seek future IRWM grant funding. Achimore confirmed the Roundtable of Regions is working collectively to increase awareness of IRWM benefits among the legislators.

MOVED, approve execution of a Task Order with JM Consultants in the amount of $74,990 for the Roundtable of Regions Network Coordinator Project.

Result:	**Adopted by Roll Call Vote (Unanimously)**
Motion/Second:	Rowe/Dennstedt
Ayes:	Dennstedt, Harrison, Parker, Rowe, Slawson
Nays:	None
Abstentions:	None
Absent:	None

D. DISADVANTAGED COMMUNITIES INVOLVEMENT (DCI) PROGRAM STATUS (CM#2020.40)

[This item is subject to the provisions of Project Agreement 25]

Rick Whetsel provided a PowerPoint presentation contained in the agenda packet on pages 130 – 147. There was no discussion.

This item was for informational and discussion purposes; no action was taken on Agenda Item No. 6.D.

E. EMERGING CONSTITUENTS PROGRAM TASK FORCE | SOCIAL MEDIA CONSULTANT SUPPORT (CM#2020.44)

[This item is subject to the provisions of Project Agreement 26]

Mark Norton provided a PowerPoint presentation contained in the agenda packet on pages 151 – 158. Norton confirmed for Commissioner Dennstedt that the maximum annual amount of $35,000 was set forth in the issued Request for Proposes (RFP) to ensure the consultant would work within a limited budget; Commissioner Dennstedt noted concern that the bids were not competitive since the amount was set in the RFP. Commissioner Dennstedt voiced her desire that staff include in the agenda packet copies of proposals from all three submitting firms for evaluation. Norton stated the evaluation process was focused on consultant qualifications, experience, and skills to be provided within the set budgeted amount and not the lowest bid. Copies of proposals from all three submitting firms were not submitted as part of the agenda packet since the three firms were vetted by an interview panel comprised of representatives from Eastern Municipal Water District, Western Municipal Water District, and the City of San Bernardino Municipal Water Department. The interview panel rated and ranked the three firms based on the submitted proposal and the interview; the panel's unanimous recommendation was brought forward to the Commission. Commissioner Dennstedt noted staff should have provided the Commission with the interview panel's rating and ranking of the proposals and interviews, which is the basis of the recommendation before the Commission. Norton stated that while this has not been SAWPA's practice in the past, this information can certainly be provided moving forward. Commissioner Dennstedt noted the importance of the information for transparency purposes. Chair Slawson and Commissioner Rowe concurred with Commissioner Dennstedt's request.

Commissioner Dennstedt asked if there were any representatives from these three firms participating in the Zoom meeting and provided an opportunity to address the Commission. JPW Communications President Jenny Windle was present; she noted their qualifications and stated they are looking forward to working with SAWPA.

MOVED, approve (1) General Services Agreement with JPW Communications; and (2) Task Order JPW392-01 with JPW Communications for an amount not-to-exceed $105,000 at $35,000/year for three years for social media support for the Emerging Constituents Program Task Force.

Result:	**Adopted by Roll Call Vote (Passed)**
Motion/Second:	Dennstedt/Harrison
Ayes:	Dennstedt, Harrison, Parker, Slawson
Nays:	None
Abstentions:	Rowe
Absent:	None

F. ROUNDTABLES/TASK FORCE UPDATE AND FYE 2021 GOALS (CM#2020.41)
[This item is subject to the provisions of Project Agreement 26]
Mark Norton provided a PowerPoint presentation contained in the agenda packet on pages 178 – 205. There was no discussion.

This item was for informational and discussion purposes; no action was taken on Agenda Item No. 6.F.

7. INFORMATIONAL REPORTS

The following oral/written reports/updates were received and filed.

A. CASH TRANSACTIONS REPORT – APRIL 2020

B. INTER-FUND BORROWING – APRIL 2020 (CM#2020.37)

C. PERFORMANCE INDICATORS/FINANCIAL REPORTING – APRIL 2020 (CM#2020.36)

D. PROJECT AGREEMENT 25 – OWOW FUND – FINANCIAL REPORT, MARCH 2020

E. PROJECT AGREEMENT 26 – ROUNDTABLE FUND – FINANCIAL REPORT, MARCH 2020

F. BUDGET VS ACTUAL VARIANCE REPORT – FYE 2020 THIRD QUARTER – ENDING MARCH 31, 2020 (CM#2020.42)

G. FINANCIAL REPORT FOR THE THIRD QUARTER ENDING MARCH 31, 2020

H. THIRD QUARTER FYE 2020 EXPENSE REPORTS

I. GENERAL MANAGER REPORT

J. STATE LEGISLATIVE REPORT

K. CHAIR'S COMMENTS/REPORT
There were no comments/reports from the Chair.

L. COMMISSIONERS' COMMENTS
There were no Commissioner comments.

M. COMMISSIONERS' REQUEST FOR FUTURE AGENDA ITEMS
There were no Commissioner requests for future agenda items.

8. CLOSED SESSION

There was no Closed Session.

9. ADJOURNMENT

There being no further business for review, Chair Slawson adjourned the meeting at 11:10 a.m.

Approved at a Regular Meeting of the Santa Ana Watershed Project Authority Commission on Tuesday, July 7, 2020.

_____
David J. Slawson, Chair

Attest:

_____
Kelly Berry, CMC
Clerk of the Board

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Santa Ana Watershed Project Authority

SAWPA

Finance Department

Santa Ana Watershed Project Authority

TREASURER'S REPORT

May 2020

During the month of May 2020, the Agency's actively managed temporary idle cash earned a return of 1.833%, representing interest earnings of $18,369. Additionally, the Agency's position in overnight funds L.A.I.F. generated $48,298 in interest, resulting in $66,667 of interest income from all sources. Please note that this data represents monthly earnings only, and does not indicate actual interest received. There were zero (0) investment positions purchased, zero (0) positions sold, zero (0) positions matured, and zero (0) positions were called.

This Treasurer's Report is in compliance with SAWPA's Statement of Investment Policy. Based upon the liquidity of the Agency's investments, this report demonstrates the ability to meet customary expenditures during the next six months.

June 16, 2020

Prepared and Submitted by:

Karen L. Williams, Chief Financial Officer

Santa Ana Watershed Project Authority

INVESTMENT PORTFOLIO - MARKED TO MARKET - UNREALIZED GAINS & LOSSES

May 31, 2020

SAWPA primarily maintains a "Buy and Hold" investment philosophy, with all investments held by US Bank via a third-party safekeeping contract.

Investment Type	Security Type	CUSIP	Dealer	Purchase Date	Maturity Date	Call Date (if appl)	Par Value	Yield To Maturity	Investment Cost	Market Value Current Month	Unrealized Gain / (Loss)	Coupon Rate	Interest Earned
Agency	FHLMC	3137EAEC9	WMS	09-16-16	08-12-21	No Call	$ 1,000,000.00	1.335%	$ 990,060.00	$ 1,010,857.00	$ 20,797	1.125%	$ 1,133.79
Agency	FHLMC	3137EADB2	WMS	04-17-17	01-13-22	No Call	$ 500,000.00	2.375%	$ 512,767.00	$ 517,419.00	$ 4,652	2.375%	$ 1,008.56
Agency	FHLB	313383HU8	WMS	06-16-16	06-12-20	No Call	$ 1,000,000.00	1.080%	$ 1,026,088.00	$ 1,000,589.00	$ (25,499)	1.750%	$ 917.27
Agency	FHLB	313379Q69	WMS	12-14-17	06-10-22	No Call	$ 1,000,000.00	2.150%	$ 998,930.00	$ 1,038,079.00	$ 39,149	2.125%	$ 1,826.12
Agency	FHLB	3130A3GE8	MBS	02-04-20	12-13-24	No Call	$ 500,000.00	1.414%	$ 531,250.00	$ 551,543.00	$ 20,293	2.750%	$ 600.51
Agency	FNMA	3135G0H55	WMS	12-28-15	12-28-20	No Call	$ 1,000,000.00	1.830%	$ 1,002,140.00	$ 1,009,226.00	$ 7,086	1.875%	$ 1,554.26
Agency	FNMA	3135G0F73	WMS	06-16-16	11-30-20	No Call	$ 1,000,000.00	1.150%	$ 1,015,157.00	$ 1,006,592.00	$ (8,565)	1.500%	$ 976.72
Agency	FNMA	3135G0X24	MBS	02-04-20	01-07-25	No Call	$ 500,000.00	1.398%	$ 505,380.00	$ 525,402.50	$ 20,023	1.625%	$ 593.71
Agency	USTN	912828WC	WMS	11-17-15	10-31-20	No Call	$ 1,000,000.00	1.638%	$ 1,005,312.50	$ 1,006,367.00	$ 1,055	1.750%	$ 1,391.04
Agency	USTN	912828L32	WMS	06-17-16	08-31-20	No Call	$ 500,000.00	1.030%	$ 507,070.31	$ 501,538.50	$ (5,532)	1.375%	$ 437.53
Agency	USTN	912828L65	WMS	06-16-16	09-30-20	No Call	$ 500,000.00	1.041%	$ 506,992.19	$ 501,953.00	$ (5,039)	1.375%	$ 441.95
Agency	USTN	912828L99	WMS	06-16-16	10-31-20	No Call	$ 500,000.00	1.051%	$ 506,914.06	$ 502,441.50	$ (4,473)	1.375%	$ 446.10
Agency	USTN	912828S76	WMS	12-14-17	07-31-21	No Call	$ 1,000,000.00	2.013%	$ 969,062.50	$ 1,010,781.00	$ 41,719	1.125%	$ 1,709.51
CORP	Apple Inc	037833AK6	WMS	10-15-18	05-03-23	No Call	$ 500,000.00	3.360%	$ 479,898.50	$ 527,866.00	$ 47,968	2.400%	$ 1,426.86
CORP	Toyota Motor Corp Credit	89236TFNO	WMS	10-15-18	09-20-23	No Call	$ 500,000.00	3.550%	$ 497,747.50	$ 538,411.50	$ 40,664	3.450%	$ 1,507.53
CD	American Express	02587DP85	WMS	04-19-17	04-19-21	No Call	$ 248,000.00	2.250%	$ 248,000.00	$ 252,789.07	$ 4,789	2.250%	$ 473.92
CD	American Express BK FSB	AN4199708	WMS	05-10-17	05-10-21	No Call	$ 248,000.00	2.200%	$ 248,000.00	$ 252,980.98	$ 4,981	2.200%	$ 463.39
CD	Sallie Mae BK SLT Lake City	7954503Q6	MBS	07-01-19	06-27-22	No Call	$ 247,000.00	2.250%	$ 247,000.00	$ 247,000.00	$ -	2.250%	$ 472.01
CD	Morgan Stanley Bank NA	6169OUHP8	MBS	07-05-19	07-05-22	No Call	$ 247,000.00	2.200%	$ 247,000.00	$ 247,000.00	$ -	2.200%	$ 461.52
CD	Goldman Sachs Bank USA	38148PUV7	WMS	12-20-17	12-20-22	No Call	$ 248,000.00	2.500%	$ 248,000.00	$ 248,000.00	$ -	2.500%	$ 526.58
Total Actively Invested Funds							**$ 12,238,000.00**		**$12,292,769.56**	**$12,496,836.05**	**$ 204,066**	**1.833%**	**$ 18,368.87**
Total Local Agency Investment Fund									$41,722,086.87			1.363%	$ 48,298.17
Total Invested Cash							**$ 12,238,000.00**		**$54,014,856.43**			**1.470%**	**$ 66,667.05**

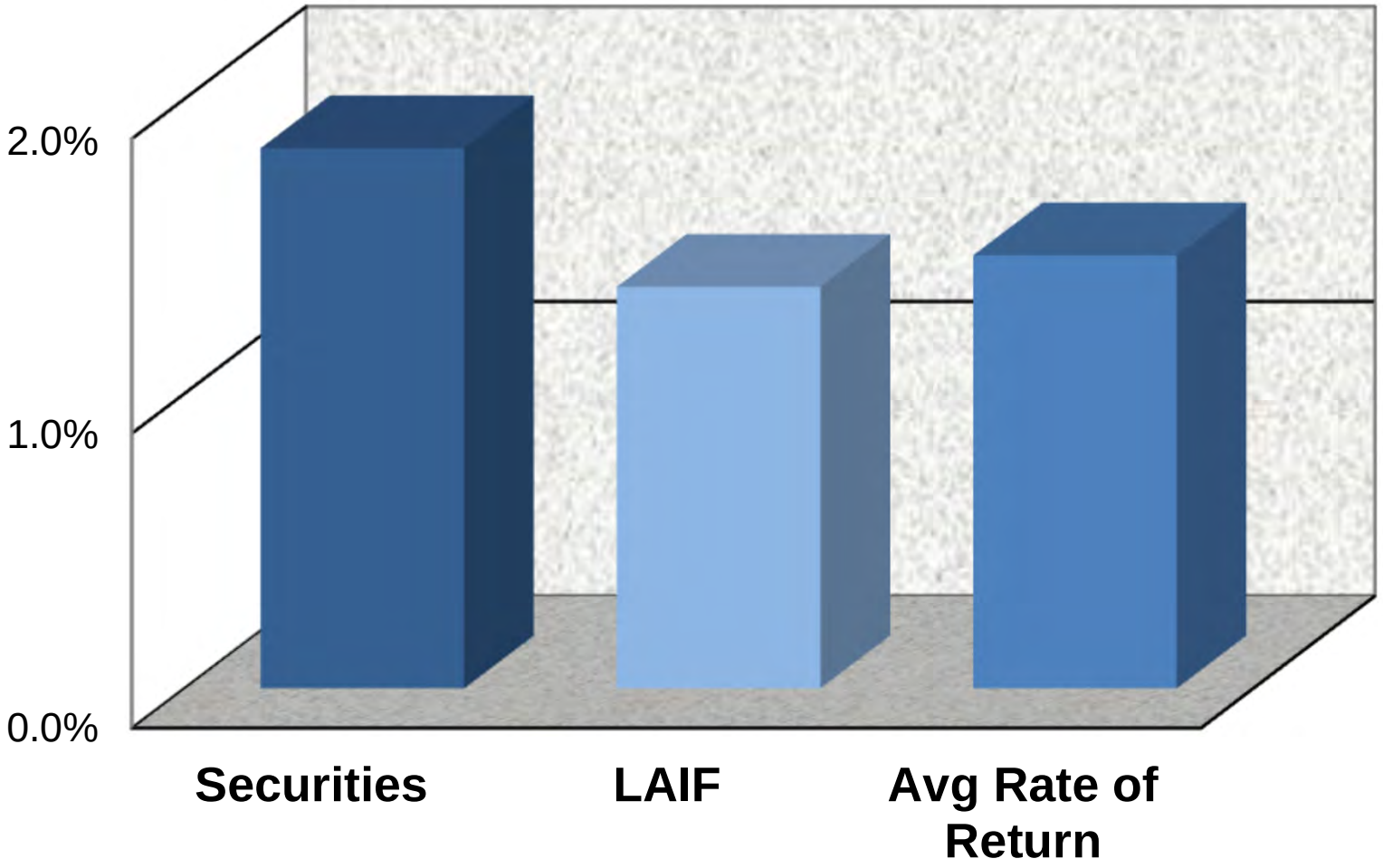
Key to Security Type:

FHLB	=	Federal Home Loan Bank
FHLMC	=	Federal Home Loan Mortgage Corporation
FNMA	=	Federal National Mortgage Association
USTN	=	US Treasury Note
CORP	=	Corporate Note
CD	=	Certificate of Deposit
GDB	=	Goldman Sachs Bank
AEC	=	American Express Centurion

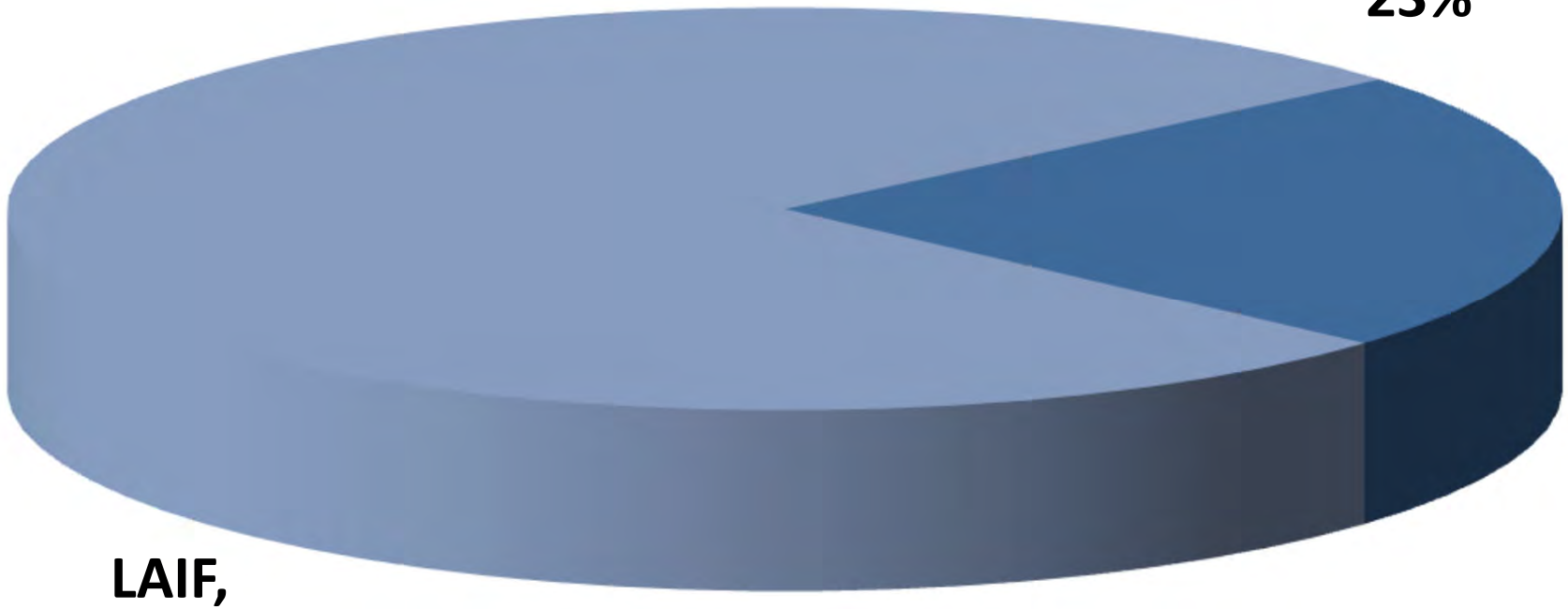
Key to Dealers:

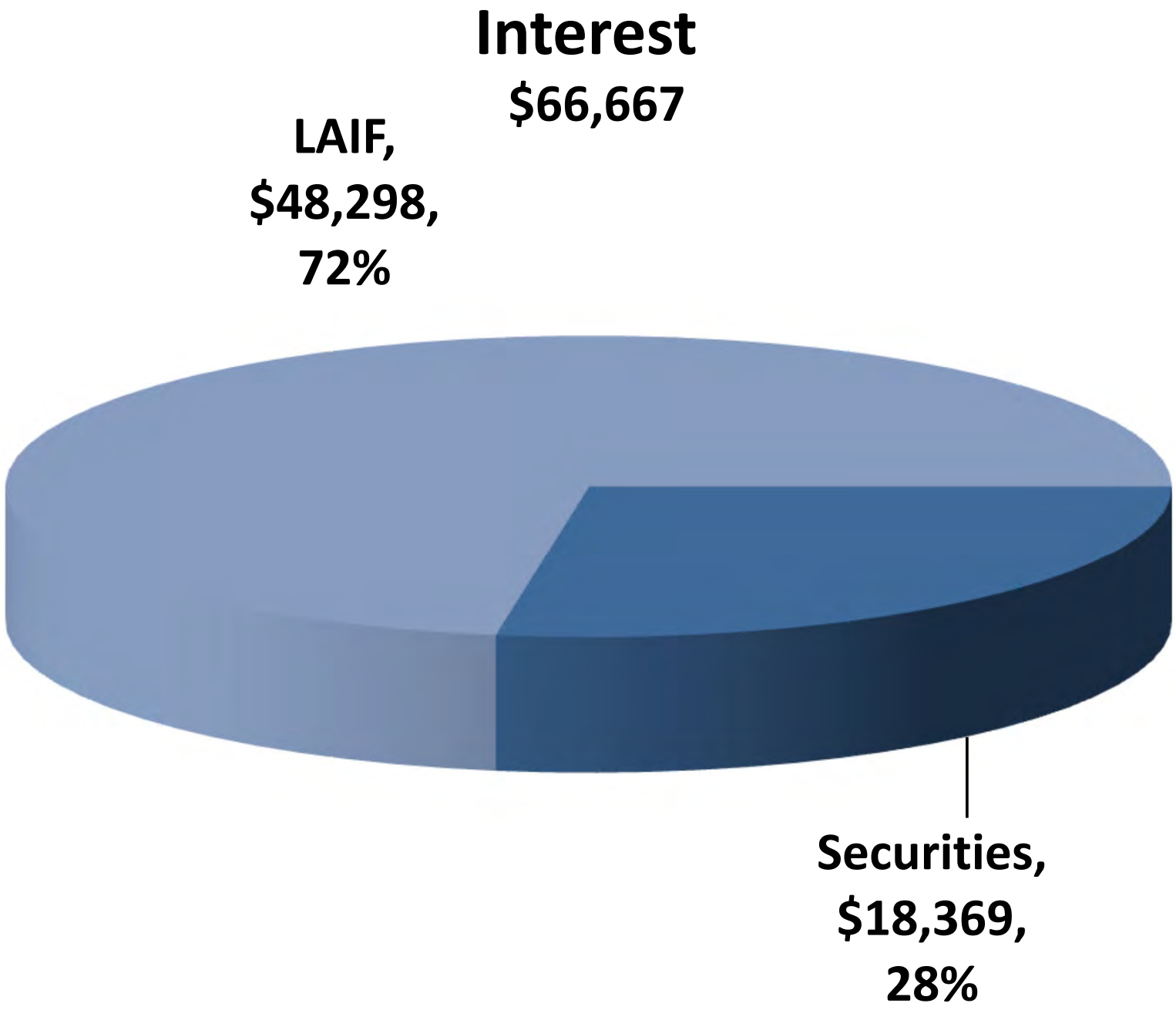
FCS	=	FinaCorp Securities
MBS	=	Multi-Bank Securities
MS	=	Mutual Securities
RCB	=	RBC Dain Rauscher
SA	=	Securities America
TVI	=	Time Value Investments
WMS	=	Wedbush Morgan Securities

Interest Rate Analysis



Investments
$54,014,856




Interest
$66,667
LAIF,
$48,298,
72%
Securities,
$18,369,
28%

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COMMISSION MEMORANDUM NO. 2020.47

DATE: July 7, 2020

TO: SAWPA Commission

SUBJECT: SAWPA Parking Lot Restoration

PREPARED BY: Carlos Quintero, Operations Manager

RECOMMENDATION
Approve the use of $23,776.16 from the Building Reserve Fund for the SAWPA Parking Lot Restoration Project.

DISCUSSION
SAWPA staff have been in close coordination with WMWD staff to restore the SAWPA parking lot after the La Sierra pipeline was installed through SAWPA property.

A Memorandum of Understanding (MOU) was approved by the SAWPA Commission during the March 17, 2020 meeting. The MOU establishes the cost allocation between SAWPA and WMWD.

The project was advertised for bid by WMWD with the bids due on June 2, 2020. The bid includes the resurfacing of the SAWPA parking lot, modifications to the SAWPA parking lot consistent with Americans with Disability Act (ADA) requirements, restriping, and the construction of a SCADA conduit to connect the WMWD Sterling Avenue pump station with the Arlington Desalter. Roadway Engineering, Inc. was awarded a contract by the WMWD Board on June 17, 2020 in the amount of $179,700. The bid includes a $30,000 item to complete the balance of the project including all work not specifically identified in one of the bid items (See Attachment 1 for a copy of Roadway Engineering's bid).

Attachment 2 provides the cost allocation percentage between SAWPA and WMWD for the bid items, the amount bid for each item, and the SAWPA and WMWD cost share.

Based on this cost allocation, SAWPA's share is $43,172, not including the $30,000 identified in Bid Item 111. Any changes to the scope of the project would be evaluated and approved by the SAWPA Commission on a case by case basis.

The estimated cost of adding 3 parking stalls in the SAWPA parking lot is $15,000. This item was not included with the bid since the permitting process is still on-going.

The SAWPA Commission had allocated $30,000 for the parking lot improvements from Phase 1 of the SAWPA Building Improvements. The table below shows the amount approved by the SAWPA Commission as well as the budget used for Phase 1 and 2 of the Building Renovation Project. Note that there is a budget remaining of $34,395.84, and an additional budget of $23,776.16 is required to fund the parking lot improvements.

Table 1. Budget approved vs. Expenditures for SAWPA Building Improvements (Phases 1 and 2)

Date	Concept	Amount
1/15/2019	Commission approval	$148,000
5/21/2019	Commission approval	$197,000
9/3/2019	Commission approval	$40,862
2/4/2020	Commission approval	$48,000
3/17/2020	Commission approval	$120,000
SUBTOTAL	**Budget approval**	**$553,862**
	Boardroom Interactive Monitor	$15,562.11
	Kitchen remodel	$5,081.84
	Upstairs restroom remodel	$23,289.79
	Carpet	$62,941.62
	2nd floor remodel	$47,969.44
	1st floor restroom remodel	$34,614.64
	Front door replacement	$15,963.25
	New office (2nd floor)	$8,927.35
	1st floor remodel	$56,098.23
	OWOW Room monitor	$6,141.68
	Portable generator	$33,000.19
	Wall artwork	$5,904.26
	Board Room furniture	$79,232.06
	Collaboration/Kitchen furniture	$21,545.66
	OWOW Room furniture	$40,324.02
	Transfer switch installation	$42,377.00
	Window shades	$9,726.00
	2nd floor conference room furniture	$10,767.02
SUBTOTAL	**Expenses**	**$519,466.16**
	REMAINING BUDGET	**$34,395.84**
	Parking lot improvements	$43,172
	3 parking stalls (estimated)	$15,000
	Required budget approval	$23,776.16

CRITICAL SUCCESS FACTORS
N/A.

RESOURCE IMPACTS
Resources for the parking lot restoration and other improvements are available under the Building Reserve Fund (balance of $591,186.56 as of May 31, 2020).

Attachments:
1. PowerPoint Presentation
2. Roadway Engineering, Inc. Bid
3. Cost Allocation between SAWPA and WMWD

SAWPA Parking Lot Restoration

Carlos Quintero, Operations Manager

SAWPA Commission | July 7, 2020

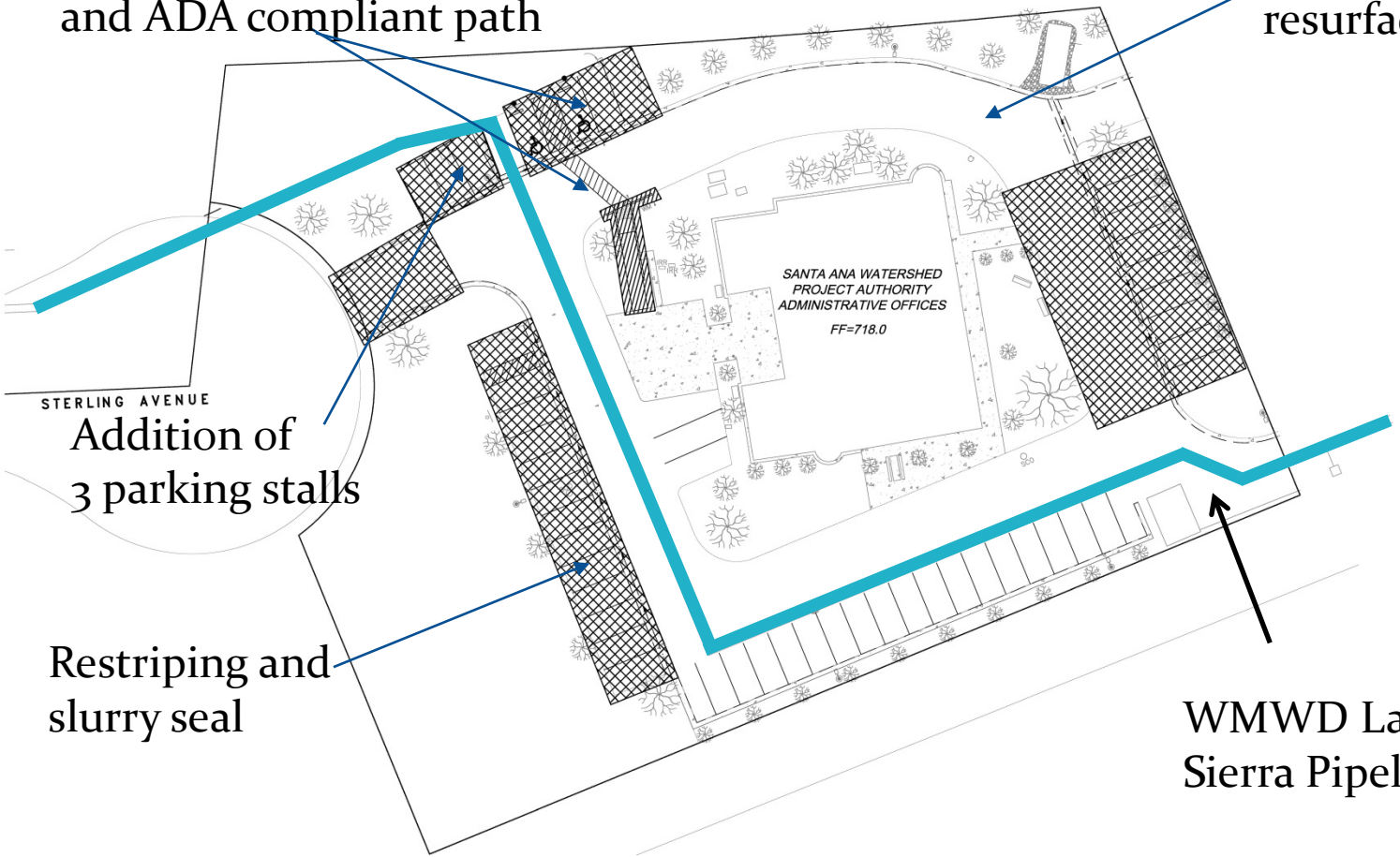
Item 6.A.

Recommendation

- Approve the use of $23,776.16 from the Building Reserve Fund for the SAWPA Parking Lot Restoration Project.

Relocation of ADA parking and ADA compliant path

Parking lot resurfacing



Addition of 3 parking stalls

Restriping and slurry seal

WMWD La Sierra Pipeline

Bid Process

- Project bid by WMWD
- Contract awarded to Roadway Engineering by the WMWD Board on June 17, 2020
- Work expected to be completed 60 days after notice to proceed is issued
- Bid amount: $179,700

NO.	ITEM	SAWPA Allocation	WMWD Allocation	Bid amount	SAWPA Share	WMWD Share
101	Mobilization/Demobilization	34.5%	65.5%	$31,000	$10,695	$20,305
102	Furnish and install all work associated with the SAWPA Parking Lot Improvements	32.7%	67.3%	$50,000	$16,350	$33,650
103	Furnish and install all work associated with the Accessible Path Improvements	100.0%	0.0%	$7,100	$7,100	$0
104	Furnish and install all striping and marking per the plans and specifications	60.8%	39.2%	$2,100	$1,277	$823
105	Furnish and install ADA Compliant handrail in accordance with the contract plans and specifications.	100.0%	0.0%	$6,900	$6,900	$0
106	Furnish and install Conduits and pull boxes in accordance with the contract plans and specifications.	0.0%	100.0%	$50,000	$0	$50,000
107	Prepare and implement Best Management Practices for Storm Water Pollution Prevention Program (SWPPP)	32.7%	67.3%	$1,000	$327	$673
108	Furnish and install labor, equipment, and material to comply with SCAQMD Rule 403	32.7%	67.3%	$600	$196	$404
109	Allowance for City of Riverside Permit Fee.	100.0%	0.0%	0	$0	$0
110	Furnish and install labor, equipment, and material to provide construction water, complete and in place.	32.7%	67.3%	$1,000	$327	$673
111	Complete the balance of the Project including all work not specifically called out in bid items 101 – 110 in order to provide WMWD with a complete correctly functioning facility and to restore the Project site as required by the Project plans and specifications.	34.5%	65.5%	$30,000	$10,350	$19,650
		TOTAL	(excludes item 111)	$149,700	$43,172	$106,528

SAWPA Building Improvements

Concept	Amount
Budget approved by SAWPA Commission (Phases 1 & 2)	$553,862
Budget used (through June 30, 2020)	$519,466.16
Budget remaining	$34,395.84
Parking lot improvements – SAWPA share	$43,172
Addition of 3 parking stalls (estimated cost)	$15,000
Budget needed	**$23,776.16**

Recommendation

- Approve the use of $23,776.16 from the Building Reserve Fund for the SAWPA Parking Lot Restoration Project.

Questions??

BID SCHEDULE

BASE BID SCHEDULE

Item No.	Description	Qty[1]	Unit	Unit Price	Amount*
101	Mobilization/Demobilization, Bonding and Insurance[2]	---	LS	---	$ 31,000 *
102	Furnish and install all work associated with the SAWPA Parking Lot Improvements per the contract plans and specifications	---	LS	---	$ 50,000 *
103	Furnish and install all work associated with the Accessible Path Improvements per the contract plans and specifications	---	LS	---	$ 7,100 *
104	Furnish and install all striping and marking per the plans and specifications	---	LS	---	$ 2,100 *
105	Furnish and install ADA Compliant hand rail in accordance with the contract plans and specifications.	---	LS	---	$ 6,900 *
106	Furnish and install Conduits and pull boxes in accordance with the contract plans and specifications.	---	LS	---	$ 50,000 *
107	Prepare and implement Best Management Practices for Storm Water Pollution Prevention Program (SWPPP) in accordance with the State of California Department of Transportation's Stormwater Quality Handbooks, 2007 ed.	---	LS	---	$ 1,000 *
108	Furnish and install labor, equipment, and material to comply with SCAQMD Rule 403 to actively prevent, reduce, or mitigate fugitive dust emissions, complete and in place.	---	LS	---	$ 600 *

Item No.	Description	Qty(1)	Unit	Unit Price	Amount*
110	Furnish and install labor, equipment, and material to provide construction water, complete and in place.	---	LS	---	$ 1,000 *
111	Complete the balance of the Project including all work not specifically called out in bid items 101 – 110 in order to provide WMWD with a complete correctly functioning facility and to restore the Project site as required by the Project plans and specifications.	---	LS	---	$ 30,000 *

* The above prices include any amount payable by The District for taxes by reason of this Contract.

(1) Quantities when called out are estimated and are for the purpose of comparing Bid only. Payment will be based upon actual quantities furnished, installed or constructed in accordance with the Contract Documents.

(2) For payment purposes, Bid Item 101 will be split 75% for mobilization and 25% for demobilization. If the Bid Extension Bid Item 101 exceeds 5% of the total Bid, this may be grounds for rejection of the bid as non-responsive.

In case of discrepancy between the unit price and the item cost set forth for a unit basis item, the unit price shall prevail and, shall be utilized as the basis for determining the lowest responsive, responsible Bidder. However, if the amount set forth as a unit price is ambiguous, unintelligible or uncertain for any cause, or is omitted, or is the same amount as the entry in the "Item Cost" column, then the amount set forth in the "Item Cost" column for the item shall prevail and shall be divided by the estimated quantity for the item and the price thus obtained shall be the unit price. Said unit price shall be utilized as the basis for determining the lowest responsive, responsible Bidder. Final payment shall be determined by the Engineer from measured quantities of Work performed based upon the unit price.

TOTAL BID PRICE (BASED ON BID SCHEDULE TOTAL OF UNIT PRICES):

$ 179,700 00/xx

Total Bid Price in Numbers

One hundred seventy Nine thousand Seven hundred and 0/100 –

Total Bid Price in Written Form

In case of discrepancy between the written price and the numerical price, the written price shall prevail.

SAWPA PARKING LOT IMPROVEMENTS

Bid amount based on Roadway Engineering, Inc's bid.

NO.	ITEM	UNIT	ESTIMATED QUANTITY	SAWPA Allocation	WMWD Allocation	Bid amount	SAWPA Share	WMWD Share
101	Mobilization/Demobilization, Bonding and Insurance[2]	1	LS	34.5%	65.5%	31,000	$10,695	$20,305
102	Furnish and install all work associated with the SAWPA Parking Lot Improvements per the contract plans and specifications	1	LS	32.7%	67.3%	50,000	$16,350	$33,650
103	Furnish and install all work associated with the Accessible Path Improvements per the contract plans and specifications	1	LS	100.0%	0.0%	7,100	$7,100	$0
104	Furnish and install all striping and marking per the plans and specifications	1	LS	60.8%	39.2%	2,100	$1,277	$823
105	Furnish and install ADA Compliant hand rail in accordance with the contract plans and specifications.	1	LS	100.0%	0.0%	6,900	$6,900	$0
106	Furnish and install Conduits and and pull boxes in accordance with the contract plans and specifications.	1	LS	0.0%	100.0%	50,000	$0	$50,000
107	Prepare and implement Best Management Practices for Storm Water Pollution Prevention Program (SWPPP) in accordance with the State of California Department of Transportation's Stormwater Quality Handbooks, 2007 ed.	1	LS	32.7%	67.3%	1,000	$327	$673
108	Furnish and install labor, equipment, and material to comply with SCAQMD Rule 403 to actively prevent, reduce, or mitigate fugitive dust emissions, complete and in place.	1	LS	32.7%	67.3%	600	$196	$404
109	Allowance for City of Riverside Permit Fee.	1	LS	100.0%	0.0%	0	$0	$0
110	Furnish and install labor, equipment, and material to provide construction water, complete and in place.	1	LS	32.7%	67.3%	1,000	$327	$673
111	Complete the balance of the Project including all work not specifically called out in bid items 101 – 110 in order to provide WMWD with a complete correctly functioning facility and to restore the Project site as required by the Project plans and specifications.	1	LS	34.5%	65.5%	30,000	$10,350	$19,650
					TOTAL (excluding Item 111)	$149,700	$43,172	$106,528

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COMMISSION MEMORANDUM NO. 2020.46

DATE: July 7, 2020

TO: SAWPA Commission

SUBJECT: Tribal Advisory Committee Status | Disadvantaged Communities Involvement (DCI) Program
(This item is subject to the provisions of Project Agreement 25)

PREPARED BY: Mark Norton, Water Resources & Planning Manager

RECOMMENDATION

It is recommended that the SAWPA Commission receive and file this information report about the current status of the Tribal Advisory Committee of the Santa Ana River Watershed Disadvantaged Communities Involvement (DCI) Program and provide feedback and direction on whether a long-term resource commitment by SAWPA to the operation of the Tribal Advisory Committee is supported.

DISCUSSION

The DCI Program has three Program Elements, within which are twenty separate activities. Those three Program Elements consist of 1) Strengths and Needs Assessment, 2) Engagement and Education, 3) Project Development. The California Rural Water Association is a project partner within the DCI Program. They have been tasked with performing multiple activities outlined in all three Program Elements.

Within DCI Program Element 3, an activity of Technical Assistance (TA) for Community Needs is defined. The grant describes this activity as providing TA to projects, plans and programs that address strengths and needs that were revealed during the outreach portion of Program Element 1. The TA activities includes but is not limited to project engineering services, curriculum development, translation services, and program capacity support. Program Element 3 has a grant budget of approximately $2.9 million.

One of the TA early action projects identified by the DCI Program Technical Advisory Committee (TAC) is to establish a Tribal Advisory Committee. The OWOW Plan Update 2018 contains recommended management and policy strategies related to Tribal communities in the watershed, crafted through the work of two Tribal Pillar workshops held by SAWPA in 2017 and 2018 . Among those recommendations is a Tribal Advisory Committee, which would in-turn support the implementation of the other recommended strategies of that chapter. The Tribal Advisory Committee is described in the OWOW Plan Update 2018 as follows:

> *It is recommended that SAWPA facilitate and fund a Tribal Working Group to ensure that Tribal consultation is occurring on all plans, strategies, and protocols being adopted within a watershed… Ideally, the Working Group would be a dynamic collaboration between numerous Tribal communities and SAWPA, as well as other stakeholders, to ensure cohesive understanding and shared responsibility.*

SAWPA's DCI Program partner, California Rural Water Association, is being funded up to $120K to support SAWPA in the development and administration of the Tribal Advisory Committee for the Santa Ana River Watershed. The goal of the Tribal Advisory Committee will be to share water resource needs impacting Tribal lands, and to better understand Tribal beliefs and perspectives of tribal resources. This includes the protection of cultural, environmental, and historical resources, as well as current day Tribal lands stewardship of the environment. Additionally, the Tribal AC will ensure integration of tribal input, addressing complex water issues within the Santa Ana Watershed that may directly impact tribes, improve communication, and collaboration between SAWPA and tribes, develop consensus, and alternatives to achieve common goals, propose strategies and action plans to address Tribal water resource and environmental needs within the Santa Ana Watershed.

Work is now underway incorporating tribal comments to the Tribal Advisory Committee Charter. Three meetings have been held in 2019 with various Native American tribes within and partially covering the Santa Ana River Watershed, tribal representatives stressed that in order for them to commit to participate in a newly established Tribal Advisory Committee, they would need assurance that SAWPA's support and involvement would not end once the DCI grant funding ends in March 2021. SAWPA staff recognizes that any long-term commit of this nature will require additional funding resources from SAWPA's member agencies and feedback is requested from the Commission regarding a long-term resource commitment to the operation of the Tribal Advisory Committee by SAWPA staff.

BACKGROUND

The Disadvantaged Communities Involvement Program is a Prop 1 funded effort within the Integrated Regional Water Management Program to ensure the involvement of disadvantaged communities, economically distressed areas, and underrepresented communities in integrated regional water management planning. As the Santa Ana River Watershed approved Regional Water Management Group, SAWPA was awarded a $6.3 million grant in June 2017.

In January 2020, an amendment to this agreement was approved to modify the Work Plan and Schedule to reflect current project conditions, as well as, to extend the term of the agreement from April 30, 2020 to July 31, 2021.

SAWPA manages the program with five program partners: California State University, Local Government Commission (a 501c3 nonprofit), the California Rural Water Association (a 501c3 nonprofit), the Water Education Foundation (a 501c3 nonprofit), and the University of California Irvine. Each partner organization has a defined scope of work, and together is responsible for project management and reporting.

The California Rural Water Association is a project partner within the DCI Program. In accordance with their mission to provide training, technical assistance, resources and information to assist water and wastewater utilities in achieving high standards of service, have been tasked with supporting SAWPA on the following DCI Program Activities:

- Tribal Consultation
- Community Water Education
- Water Agency Community Engagement Training
- Technical Assistance for Community Needs

CRITICAL SUCCESS FACTORS

OWOW CSF #1 - Continued support from SAWPA commission of OWOW Steering Committee's decision-making authority as a means of ensuring trust, transparency, and external communications.

OWOW CSF #2 - Active participation of a diverse group of stakeholders representing counties, cities, and water districts, as well as the private sector and the regulatory, environmental, and environmental justice communities who integrate the different interests in the watershed beyond political boundaries. Ensuring all perspectives are heard and valued.

OWOW CSF #5 - A strong reputation and sufficient capacity within SAWPA staff for strategic facilitation, planning, communication, leadership and community engagement

RESOURCE IMPACTS

Work described in this informational report will be funded entirely by SAWPA's Disadvantaged Communities Involvement Grant (398 account) from DWR. However, any long-term commitment to operate the Tribal Advisory Committee would need to be reflected in future SAWPA budgets.

Attachments:
1. PowerPoint Presentation – Thomas Keegan, California Rural Water Association
2. PowerPoint Presentation – Mark Norton, SAWPA

TRIBAL ENGAGEMENT

Santa Ana Watershed Project Authority

Thomas Keegan, Tribal Specialist

CALIFORNIA RURAL WATER ASSOCIATION

July 7, 2020

Item No. 6.B.

SAWPA

TRIBAL ENGAGEMENT

- Tribal Governments
- Government to Government Consultation
- Tribal Engagement
- SAWPA Outreach and Engagement
- SAWPA Tribal Advisory Committee

TRIBAL GOVERNMENTS

California Native American Tribe:

A federally recognized tribal government listed on the most recent notice of the Federal Register or a non-federally recognized California tribe listed on the California Tribal Consultation List maintained by the California Native American Heritage Commission (NAHC).

TRIBAL GOVERNMENTS

In addition to inherent sovereign authority, federally recognized tribes may assume regulatory authority under delegations of federal powers.

TRIBAL GOVERNMENTS

Six federal acts contain either a general tribes-as-states section or express authorization for federally recognized tribes to assume specific program responsibilities:

- Clean Water Act (CWA)
- Clean Air Act (CAA)
- Safe Drinking Water Act (SDWA)
- Federal Insecticide, Fungicide and Rodenticide Act (FIFRA)
- Comprehensive Environmental Response Compensation and Liability Act (CERCLA)
- Surface Mining Control and Reclamation Act (SMCRA)

To ensure implementation of national standards, the federal government typically retains primary authority where no approved tribal plan is in effect.

TRIBAL GOVERNMENTS

How many tribes are there within California?

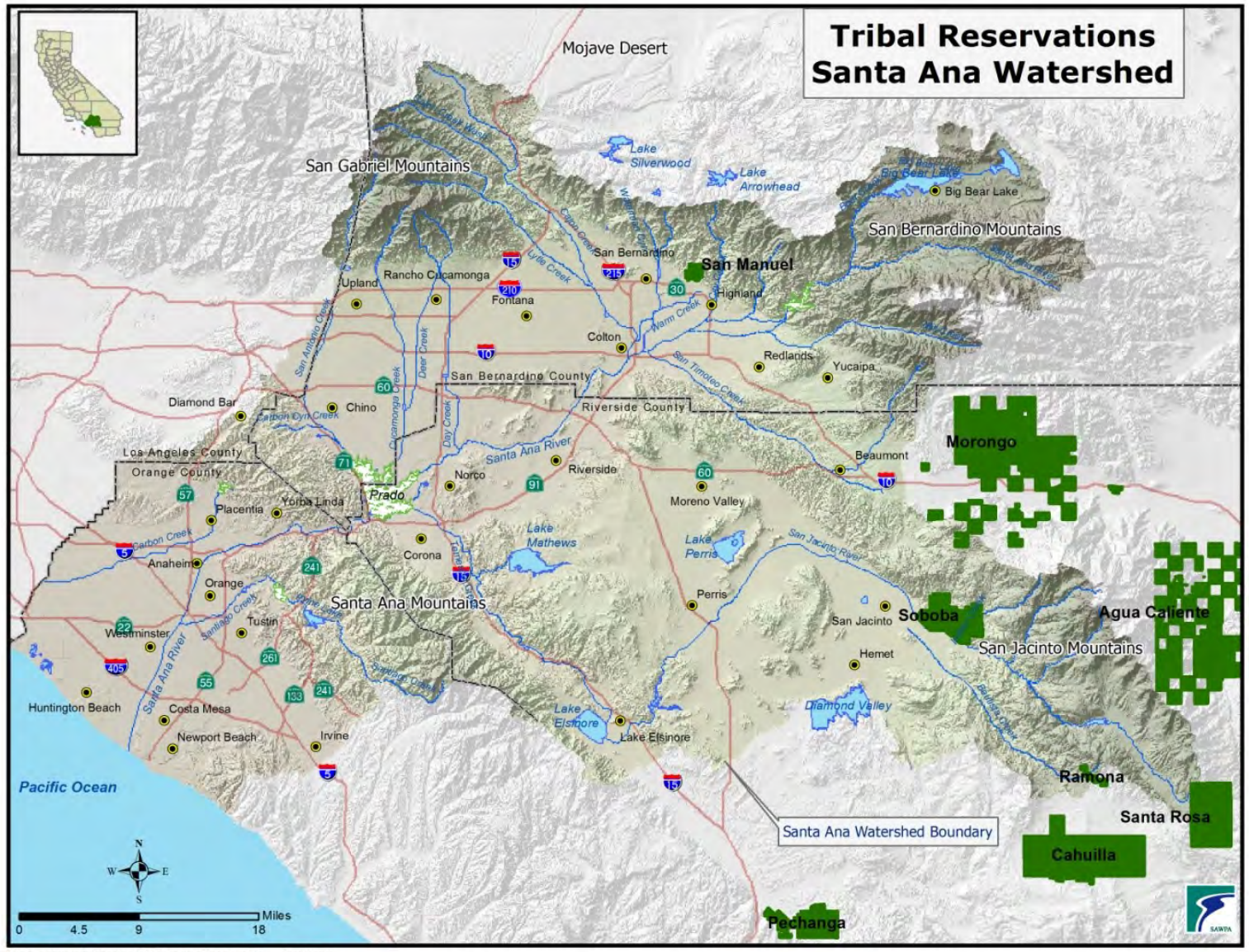
- 109 federally recognized Indian tribes
- ≈45 tribal communities of formerly recognized tribes
- 720,000 Native American population in CA; 2010 U.S. Census

Within the Santa Ana Watershed?

- 5 federally recognized Indian tribes
- ≈2 non-federally recognized

There are also other tribes that are traditionally or culturally affiliated with the watershed

TRIBAL GOVERNMENTS (FEDERAL TRUST LANDS)



TRIBAL GOVERNMENTS (NON-FEDERALLY RECOGNIZED)

- Gabrielino Tongva
- Acjachemen/Juaneno

TRIBAL GOVERNMENTS

- The relationship between tribes and the natural environment is complex
- Natural resources sustainably provides and supports essential elements of Native life and culture
- Unique perspective unlike other governments and stakeholders
- Apply traditional knowledge to management of natural resources within the watershed
- Build capacities and opportunities for Indigenous stewardship and management of freshwater and marine ecosystems.

GOVERNMENT TO GOVERNMENT CONSULTATION

- Important to consult directly with the designated representatives of tribal governments
- Tribes are not public stakeholders
- Tribes are not local governments
- Tribes' have their own consultation policies. It is important to understand a Tribe's consultation policy before initiating consultation

TRIBAL ENGAGEMENT

- State Standards for IRWM require Native American Tribal Engagement
- Communicate and consult early and often with tribes
- Benefits intergovernmental coordination and collaborative problem solving
- Interconnects interests in safeguarding the environment while maintaining healthy and diversified economies.
- Provides meaningful participation and input while developing or revising projects, plans, and policy

SAWPA OUTREACH AND ENGAGEMENT

ONE WATER ONE WATERSHED PLAN: TRIBAL COMMUNITIES CHAPTER

- Adopted by the SAWPA Commission February 2019
- California Rural Water Association facilitated two workshops to develop a Tribal Pillar Chapter section in OWOW Plan Update 2018 to discuss OWOW goals that can be accomplished by and with the Tribal Communities of the watershed (Section 5.3).
- Included participation by Tribal representatives
- One of workshop recommendations is the need for continued development of appropriate ties between the governments of Tribal communities and the government agencies

PROP 1 IRWM DISADVANTAGED COMMUNITY INVOLVEMENT PROGRAM – TRIBAL CONSULTATION AND ENGAGEMENT

Activity 2 Disadvantaged and Tribal Communities Pillar

- SAWPA will assist the Disadvantaged and Tribal Communities (DTC) Pillar workgroup to convene for workshops approximately five times per year, through at least the completion of the OWOW Plan Update 2018. The Pillar workgroup will develop the OWOW Plan Update 2018 chapter about overburdened and underrepresented communities.

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Activity 9 Tribal Consultation

- SAWPA staff, Cal Rural Water Association (CRWA) staff and CSU DACC personnel will confer with the sovereign tribal communities within the Santa Ana Watershed and the adjacent areas not served by a Regional Water Management Group. Invitations to participate will be made to tribal groups not currently recognized by federal and state governments.

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- Tom Keegan, CRWA, hired as facilitator for tasks and development of Tribal Advisory Committee

TRIBAL ADVISORY COMMITTEE PURPOSE

- Discuss watershed issues and water resource projects involving California Native American Indian Tribes
- Improve communication and collaboration directly with Tribes
- Understand water resource needs impacting Tribal lands
- Integration of tribal knowledge and input
- Address complex water issues within the Santa Ana Watershed that may impact tribes
- Develop consensus, and alternatives to achieve common goals
- Proposed strategies and action plans to address Tribal water resource needs and environmental issues within the Santa Ana Watershed

TRIBAL ADVISORY COMMITTEE PROVISIONS

- Tribal AC membership open to all interested tribes
- Annual solicitation to all California Native American Tribes
- Committee shall not serve to replace government-to-government consultation between SAWPA and Tribes
- A tribe or SAWPA may request formal consultation outside of the Tribal AC
- Committee may formally invite guests to attend for stated and reasonable purpose, such as a presentation to inform the Committee
- Committee is entirely advisory in nature and has no governmental nor fiduciary powers
- Proceedings of the Committee are not subject to state or federal open meeting laws

TRIBAL ADVISORY COMMITTEE CHARTER

- Conducted informational workshops with Tribes on July 11, 2019
- Discussed development of Draft Tribal AC Charter, November 14, 2019 & February 25, 2020
- Workshops were well attended by Tribal Representatives in and around watershed
- Tribes and SAWPA staff have provided many comments on Draft Tribal AC Charter
- Comments have been incorporated into Final Draft
- Resolution of Support from SAWPA was also requested

QUESTIONS

Disadvantaged Communities Involvement Program – Tribal Consultation

Discussion and Feedback

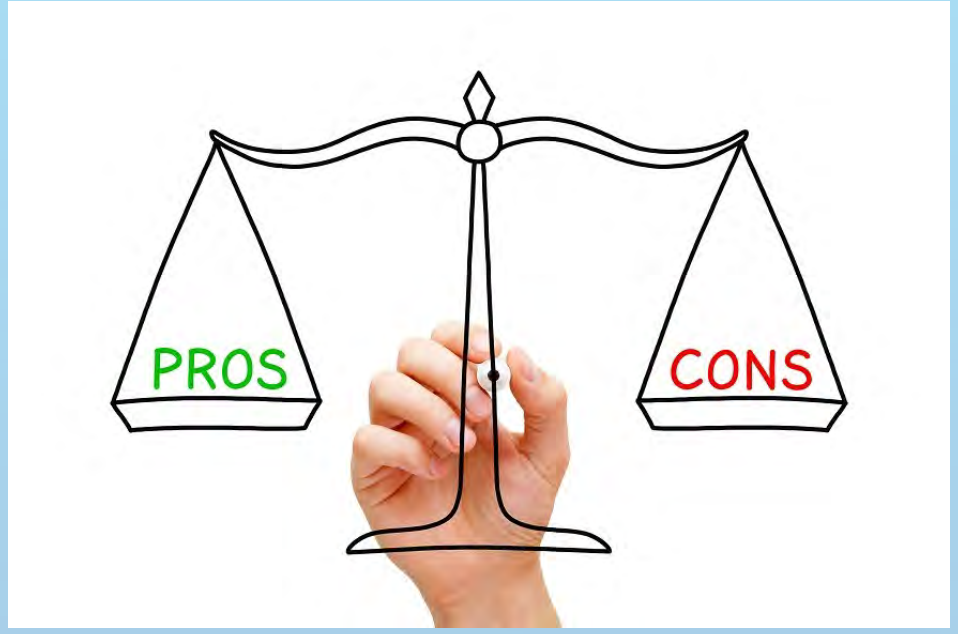
Mark Norton, Water Resources & Planning Manager
SAWPA Commission| July 7, 2020
Item No. 6.B.

DWR DCI Agreement – Tribal Consultation Task

- DWR DCI agreement indicates that SAWPA with partners **will confer** with the sovereign tribal communities within the Santa Ana Watershed and the adjacent IRWM areas
- No requirement for a Tribal Advisory Committee formation exists
- Work can be completed through informational and coordination meetings
- No funding defined to support Tribal Advisory Committee after DCI grant expires
- **Tribes have requested a long-term commitment, after DCI grant funding ends, by SAWPA in order for them to commit to participate in Tribal Advisory Committee**

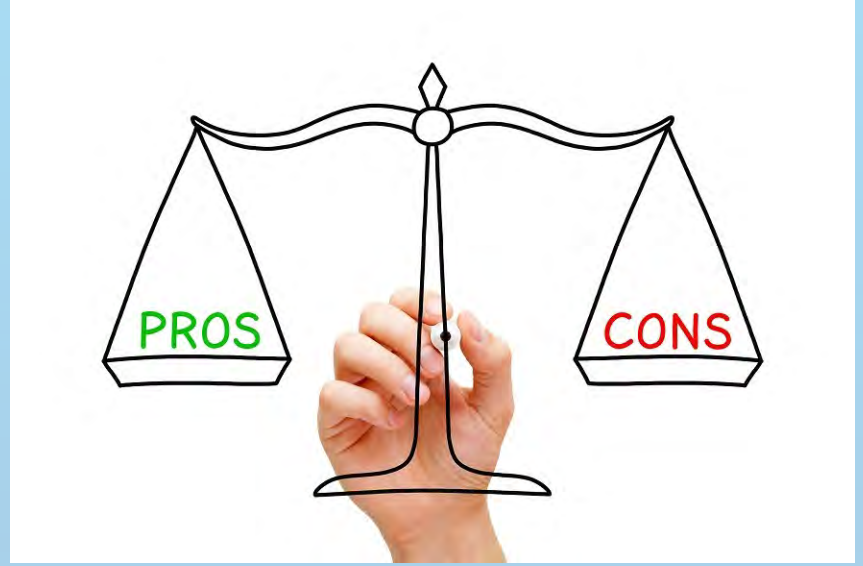
SAWPA Long Term Support

- Pros
  - Enhances relationship between water community and Federally and non-Federally recognized tribes
  - Expands Technical Assistance and Capacity Building for Tribal Communities
  - Addresses recommendation in Tribal Pillar Chapter of OWOW Plan Update 2018
  - DWR would like to see continued support of DCI activities by local IRWM regions
  - Advances social equity and justice by embracing diverse perspectives while supporting funding, planning, designing, and building water infrastructure and communities



SAWPA Long Term Support

- Cons
  - $50,000/yr is estimated for SAWPA staff time and possible facilitator support
  - Unbudgeted support would need to come from SAWPA member agencies
  - Some tribal representatives are critical of SAWPA, OWOW and past outreach efforts
  - TAC may solicit for changes to OWOW Steering Committee governance and established water rights
  - Commitment long term for SAWPA staff support of TAC could change as elected officials on SAWPA Commission change
  - Planning Dept is currently understaffed with loss of past watershed manager in 2019 – not filled due to uncertainty of future IRWM grant funding



SAWPA Commission Feedback

- Feedback and direction from SAWPA Commission is requested to help guide whether further work on Tribal Advisory Committee charter and SAWPA resolution is supported

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COMMISSION MEMORANDUM NO. 2020.45

DATE: July 7, 2020

TO: SAWPA Commission

SUBJECT: Lake Elsinore & San Jacinto Watersheds Authority and Lake Elsinore/Canyon Lake Nutrient TMDL Task Force Update and FYE 2021 Goals
(This item is subject to the provisions of Project Agreement 26)

PREPARED BY: Mark R. Norton, PE., Water Resources and Planning Manager

RECOMMENDATION
SAWPA staff recommends that the SAWPA Commission receive and file this summary report presentation regarding the LESJWA and the LE/CL Nutrient TMDL Task Force current activities and goals for FY 2020-21.

DISCUSSION
Similar to the previous report given to the SAWPA Commission on June 16, 2020 regarding (Roundtables/Task Forces), SAWPA staff will provide a summary of Lake Elsinore and San Jacinto Watersheds Authority (LESJWA) and the Lake Elsinore/Canyon Lake Nutrient Total Maximum Daily Load (TMDL) purpose, benefits and recent activities". Quarterly reports are currently provided to the SAWPA Commission in the month following each quarter. A PowerPoint presentation will be provided by the Planning Department Manager, Mark R. Norton, to discuss and summarize the ongoing activities and goals to be undertaken in FY 20-21 by LESJWA and LE/CL Nutrient TMDL Task Force.

CRITICAL SUCCESS FACTORS

1. SAWPA has a strong reputation as a watershed-wide, knowledgeable, neutral and trusted facilitator, leader, and administrator of contracted activities.
2. Goals, scope, costs, resources, timelines, and the contract term are approved by the Commission before executing an agreement to participate in a roundtable group.
3. Clear upfront criteria and terms for completing or transitioning efforts that have been successfully established or completed.
4. Report and use results of roundtable's work, leverage information and involvement for the benefit of SAWPA, its members, and other stakeholders.
5. Annual reviews of workplans and budgets for each task force activity.
6. Adequate professional staff and resources to effectively provide facilitation, management, administrative and technical support to collaborative work efforts.

RESOURCE IMPACTS
All LESJWA and LE/CL TMDL Task Force revenues and expenses for FY 20-21 are included in the approved SAWPA FYE 2020/2021 Budget.

Attachments:
1. PowerPoint Presentation

LESJWA and LE/CL TMDL Task Force Status, Goals and Workplan for FYE 2021

Mark R. Norton PE
Water Resources & Planning Mgr.

Commission I July 7, 2020
Item No. 6.C.

Lake Elsinore & San Jacinto Watersheds Authority

City of Lake Elsinore • City of Canyon Lake • County of Riverside
Elsinore Valley Municipal Water District • Santa Ana Watershed Project Authority

LESJWA Update – 20th Anniversary

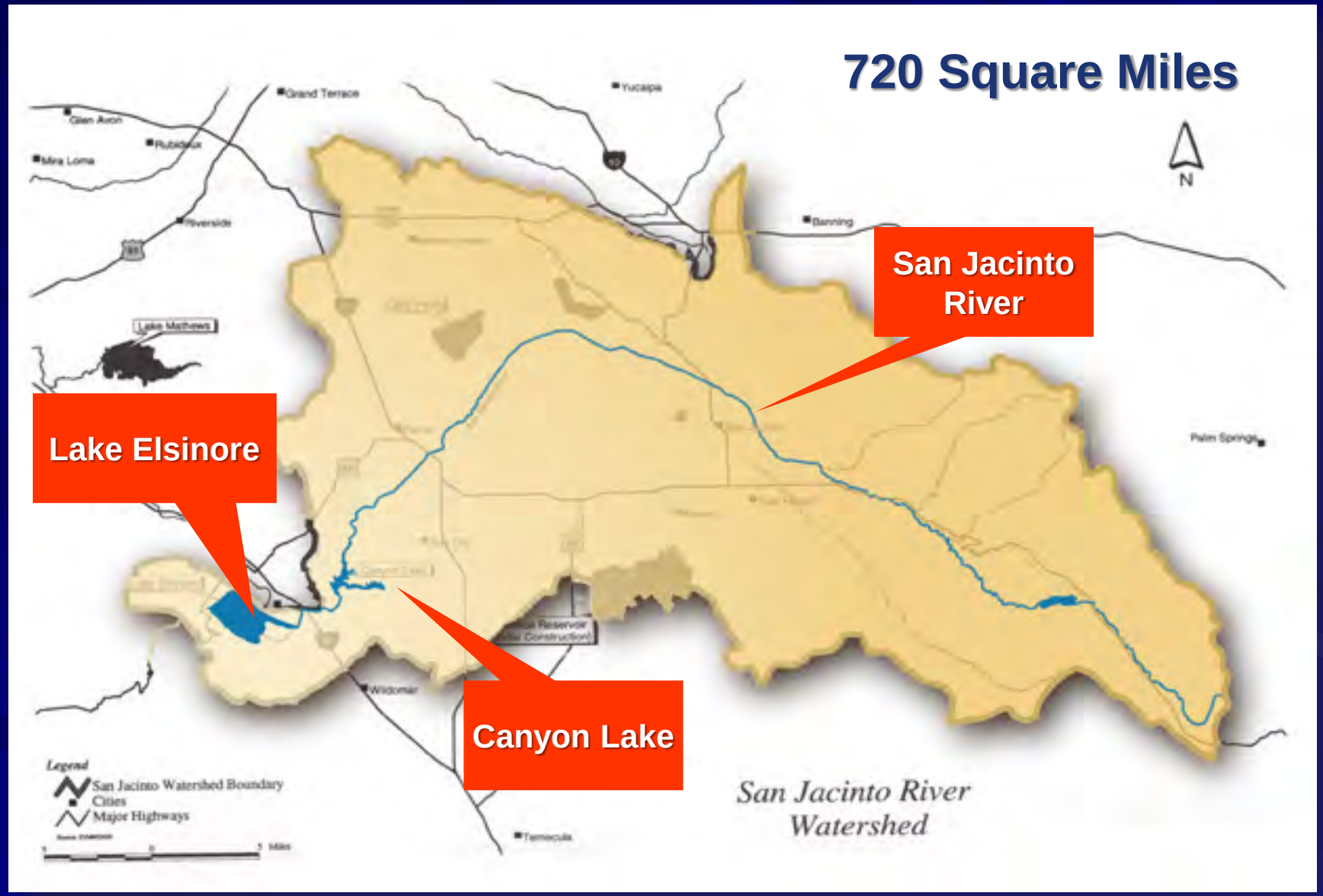
WHAT IS LESJWA?

A Joint Powers Authority (JPA), formed in 2000, to improve water quality and habitat for Canyon Lake, Lake Elsinore and San Jacinto River watersheds.

LESJWA is administered by the Santa Ana Watershed Project Authority (SAWPA)

- Brenda Dennstedt (LESJWA Board – SAWPA)
- Mark Norton (LESJWA Authority Administrator)

SAN JACINTO RIVER WATERSHED



CANYON LAKE

CANYON LAKE FACTS

- 383 acres
- 13.8 miles of shoreline
- 3 main beaches
- 3 mile championship water ski course (wakeboard, sky ski)
- 2 marinas, 10 common dock areas
- Concrete dam 80 feet high, 510 feet wide (1929)
- Drinking Water Reservoir owned by Elsinore Valley Municipal Water District
- Water Source – watershed storm runoff, imported water, as needed
- Listed as impaired water body by State in 2006

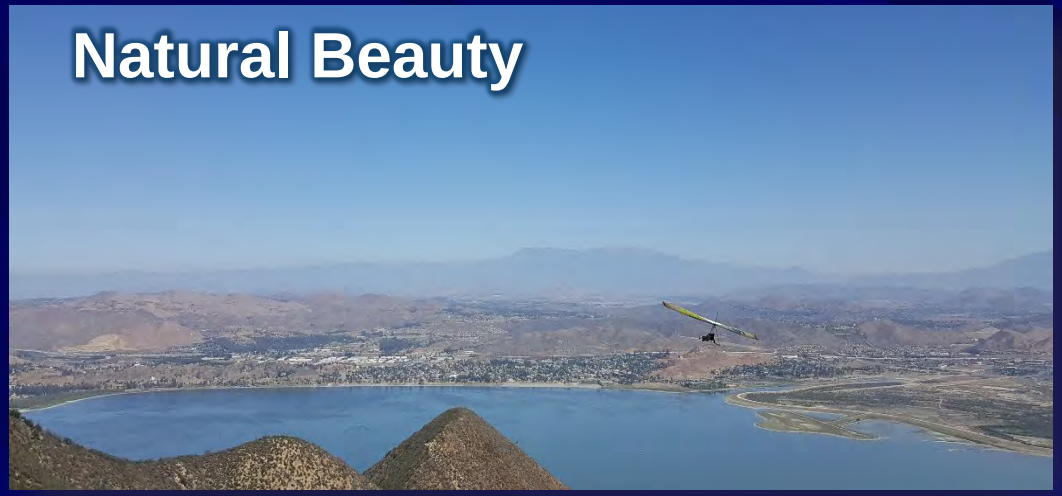
CANYON LAKE: CHALLENGES

- Storm water runoff carries high levels of nutrients including nitrogen and phosphorus

- Nutrients hurt water quality and threaten the fishery

LAKE ELSINORE

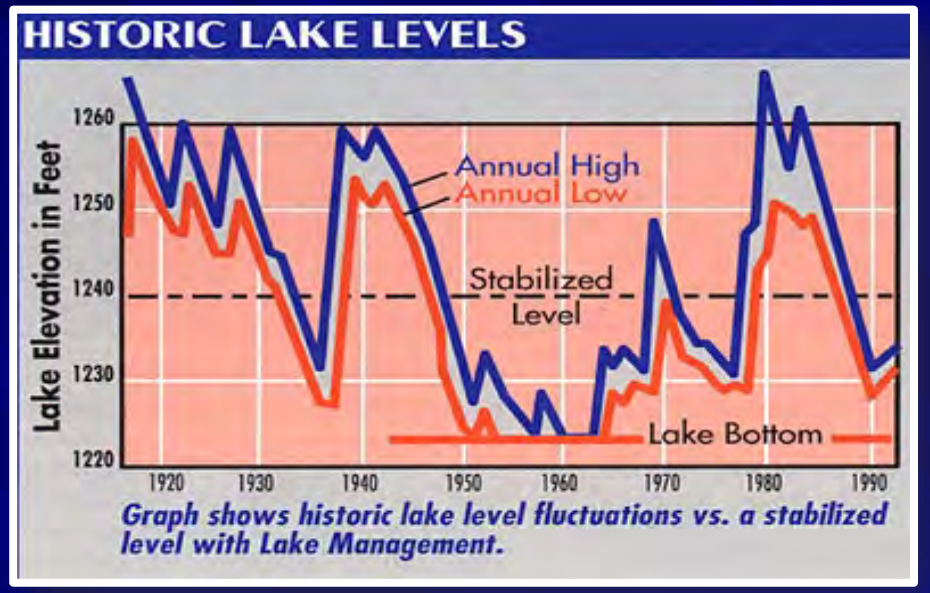
- Southern California's Largest Natural Freshwater Lake
- 3,000 Surface Acres
- 14 Miles of Shoreline
- Bordered by the City of Lake Elsinore & County of Riverside



Lake Elsinore is a **DESTINATION** to Enjoy

LAKE ELSINORE CHALLENGES

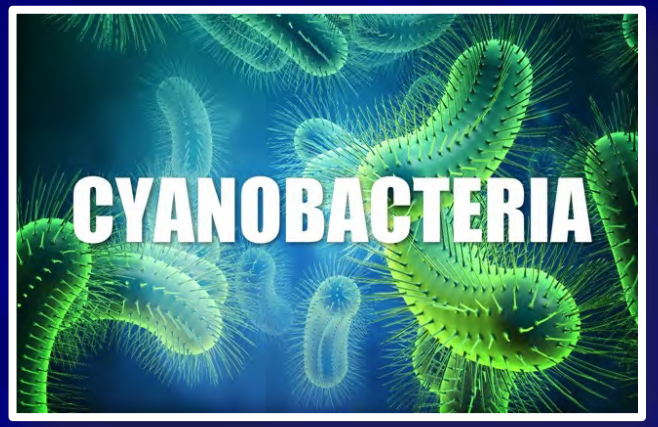
- History of flooding & drying
- Evaporation drops water levels 4 ½ feet per year
- Watershed runoff affects overall water quality
- Listed as impaired water body by State in 2006



LAKE ELSINORE CHALLENGES

ALGAE BLOOMS

- Cyanobacteria known as Blue Green Algae
- Naturally occurring
- Some produce harmful toxins
- Harmful Cyanotoxins include:
  - Microcystins
  - Anatoxin-a
  - Cylindrospermopsi
- Visual Evidence of a Bloom: Blooms, Scums, Mats
- Toxins released when cells die or cellular membranes become more permeable



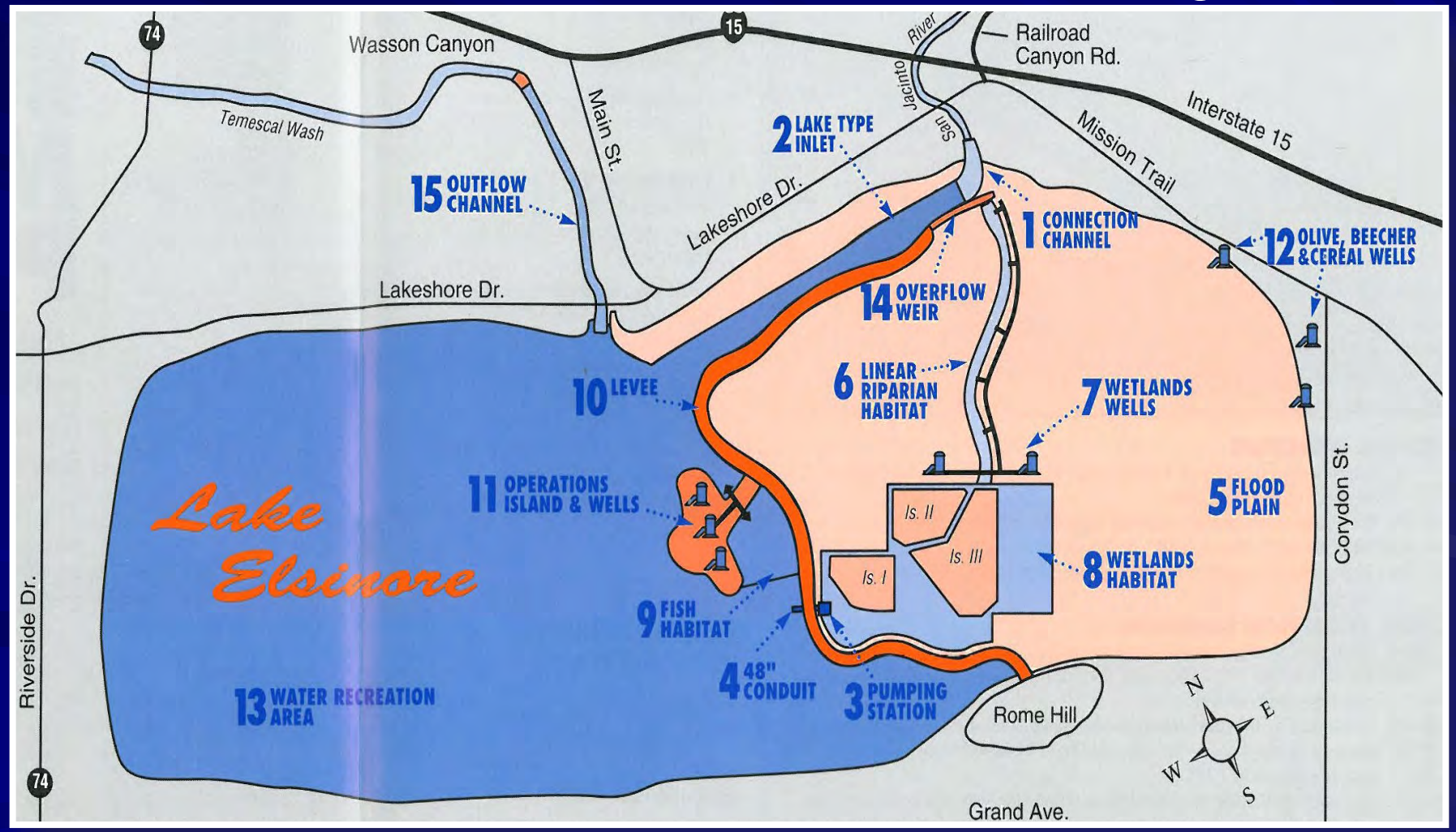
WHY AREN'T…

Lake Elsinore & Canyon Lake like Big Bear Lake or Lake Tahoe?

- Our lakes are not mountain lakes fed only by natural forest lands
- Lake Elsinore is a geographic natural low spot from urban upper watershed
- LESJWA projects reflect work of many lake experts
- LESJWA has applied best available and affordable technology to reduce frequency and severity of fish kills and algae

LAKE ELSINORE MANAGEMENT PROJECT 1988 - 1994

Goal to stabilized lake and address flooding issues



LESJWA PROJECTS

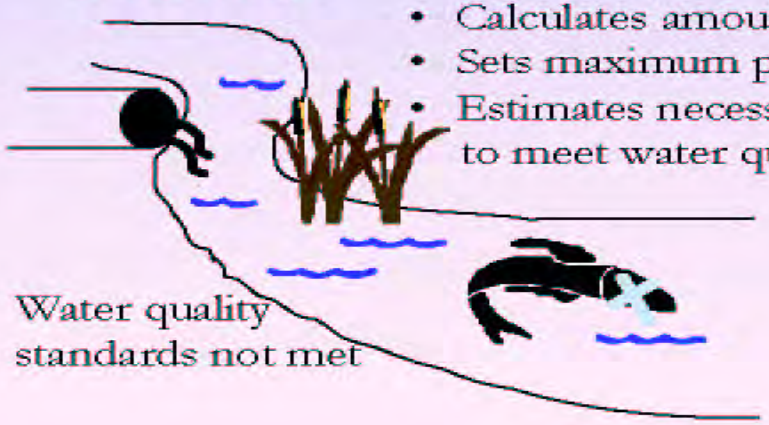
CANYON LAKE PROJECTS

Lake Elsinore and Canyon Lake Nutrient TMDLs issued in 2006

A TMDL is the State's formal process to clean up polluted waters

Total
Maximum
Daily
Load

Polluted



Study

- Identifies sources of pollution
- Calculates amounts from each source
- Sets maximum pollutant load
- Estimates necessary pollutant reductions to meet water quality standards

Implementation Plan

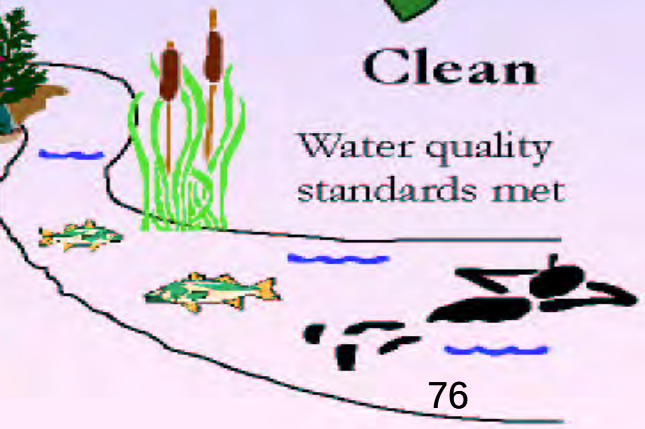
- Identifies permit controls or best management practices needed to make necessary pollutant reductions

Implementation

Monitoring

Clean

Water quality standards met



The Process

Stakeholders are responsible for reducing their nutrient contributions which will help lakes

In 2006, LESJWA formed Task Force of responsible parties required to help improve lake quality

- March US Air Reserve Base and March JPA
- State of California
  - Department of Fish and Game
  - Department of Transportation
- County of Riverside
  - Flood Control and Water Conservation District
- Cities
  - City of Beaumont
  - City of Perris
  - City of Moreno Valley
  - City of Hemet
  - City of San Jacinto
  - City of Wildomar
  - City of Lake Elsinore
  - City of Canyon Lake
  - City of Riverside
  - City of Murrieta
  - City of Menifee
- Water Agencies:
  - Eastern Municipal Water District
  - Elsinore Valley Municipal Water District
- Agriculture & Dairies:
  - Concentrated Animal Feeding Operators
  - Agricultural Operators

- LESJWA administers Task Force while Regional Board is advisor to Task Force

Lake Elsinore and Canyon Lake Nutrient TMDL

SAWPA/LESJWA authorized agreement in 2004

Description

- Addresses water quality impairments at Lake Elsinore and Canyon Lake Nutrient through Total Maximum Daily Load (TMDL) Task Force
  - Nutrients, DO, chlorophyll a

Benefits

- Healthier lakes and tributaries providing millions in benefit to economy
- Surface Water Quality Improvement
- TMDLs reflecting latest modeling, science and land use changes

Recent Activity - LESJWA JPA and LE/CL Nutrient TMDL Task Force

Project Manager: Mark Norton (JPA)
Rick Whetsel (TMDL TF)
Funding Source: LESJWA member agency and RCFCWD contributions

- Administered the LESJWA JPA bi-monthly meetings
- Administered the Lake Elsinore & Canyon Lake Nutrient TMDL Task Force monthly meetings
- Completed TMDL Revision Update and submitted to Regional Board
- Completed two alum applications at Canyon Lake
- Completed Lake Elsinore Fishery Management Plan and Survey

Results of LESJWA/Task Force Efforts

- Canyon Lake is now meeting TMDL Response Targets due to alum treatments
- Canyon Lake overall clarity has improved
  - BUT…East Bay still has occasional algae blooms

- Lake Elsinore is now meeting some TMDL Response Targets
- Lake Elsinore big fish populations improving
  - BUT…still plagued by minor fish kills and algae

Fund 477-02 LESJWA JPA Administration
FY 2020-21 Goals

- Administer LESJWA Board meetings including all coordination, agenda packets, budget preparation, minutes, etc. (6 mtgs/yr)
- Conduct coordination of activities and LESJWA Board action with LE/CL TMDL Task Force
- Administer LESJWA Public Outreach consultant support for maintaining LESJWA social media and public relations
- Monitor and update schedules of deliverables, budgets, responsible agencies, webpage update, and all coordination activities
- Conduct 2021 LESJWA Water Summit
- Share results of lake quality monitoring and TMDL Update conducted under LE/CL TMDL TF with LESJWA Board
- Provide briefings about LESJWA and Task Force activities to local agencies and press

Fund 477TMDL Lake Elsinore and Canyon Lake TMDL Task Force FY 2020-21 Goals

Project Manager: Rick Whetsel
Funding Source: Participant Fees

- Administer LE/CL TMDL Task Force
- Support Task Force on regulatory and compliance issues
- Implement Revised Lake Elsinore and Canyon Lake Nutrient TMDL
- Conduct 2020-21 annual TMDL compliance monitoring
- Administer Lake Elsinore Aeration and Mixing System (LEAMS) Nutrient Offset Credit Report
- Administer Canyon Lake Alum Treatment
- Update Comprehensive Nutrient Reduction Plan (CNRP)

WHAT'S NEXT TO SUPPORT LAKES?

- City of Lake Elsinore worked with LESJWA/SAWPA to apply for OWOW/DWR Round 1 Prop 1 IRWM grant for pilot project to evaluate blue green algae removal technologies from Lake Elsinore
  - State Funding Award - $297,000
- Task Force funded and conducted update to TMDLs to reflect current land use and best science available about lakes
  - TMDL Update currently under final review by Regional Board

PROP 1
GOVERNOR GAVIN NEWSOM
WATER BOND 2014
RELIABILITY | RESTORATION | RESILIENCE

Value to SAWPA

- Water quality improvement to lakes and San Jacinto River subwatershed, 1/3 of Santa Ana Watershed
- Building relationships among regulators and regulated parties that allow for economies of scale, reduced costs and increased benefits.
- Economic benefit to lake communities along with improved image
- LESJWA and Task Force is funded predominantly by local agencies who in turn fund SAWPA staff support

Questions?

TRS TEAMAN, RAMIREZ & SMITH, INC.
CERTIFIED PUBLIC ACCOUNTANTS

June 19, 2020

Board of Commissioners
Santa Ana Watershed Project Authority
Riverside, CA

We are engaged to audit the financial statements of the business-type activities and aggregate remaining fund information of the Santa Ana Watershed Project Authority (the "Authority") for the year ended June 30, 2020. Professional standards require that we provide you with the following information related to our audit. We would also appreciate the opportunity to meet with you to discuss this information further since a two-way dialogue can provide valuable information for the audit process.

Our Responsibilities under U.S. Generally Accepted Auditing Standards and *Government Auditing Standards*

As stated in our engagement letter dated May 8, 2020, our responsibility, as described by professional standards, is to express opinions about whether the financial statements prepared by management with your oversight are fairly presented, in all material respects, in conformity with U.S. generally accepted accounting principles. Our audit of the financial statements does not relieve you or management of your responsibilities.

Our responsibility is to plan and perform the audit to obtain reasonable, but not absolute, assurance that the financial statements are free of material misstatement.

As part of our audit, we will consider the internal control of the Authority. Such considerations will be solely for the purpose of determining our audit procedures and not to provide any assurance concerning such internal control.

We are responsible for communicating significant matters related to the audit that are, in our professional judgment, relevant to your responsibilities in overseeing the financial reporting process. However, we are not required to design procedures specifically to identify such matters.

As part of obtaining reasonable assurance about whether the financial statements are free of material misstatement, we will also perform tests of the Authority's compliance with certain provisions of laws, regulations, contracts, and grants. However, providing an opinion on compliance with those provisions is not an objective of our audit.

Generally accepted accounting principles provide for certain required supplementary information (RSI) to supplement the basic financial statements. Our responsibility with respect to *management's discussion and analysis, schedule of proportionate share of the net pension liability, schedule of contributions-pension, schedule of changes in net OPEB liability and related ratios and schedule of contributions - OPEB* which supplement the basic financial statements, is to apply certain limited procedures in accordance with generally accepted auditing standards. However, the RSI will not be audited and, because the limited procedures do not provide us with sufficient evidence to express an opinion or provide any assurance, we will not express an opinion or provide any assurance on the RSI.

We have not been engaged to report on the transmittal letter, other introductory section information, combining schedules for enterprise, capital projects, and agency funds, which accompany the financial statements but are not RSI. Our responsibility with respect to this other information in documents containing the audited financial statements and auditor's report does not extend beyond the financial information identified in the report. We have no responsibility for determining whether this other information is properly stated. This other information will not be audited and we will not express an opinion or provide any assurance on it.

As part of the audit, we will assist with the preparation of the financial statements and related notes and reports to the State Controller. However, this assistance does not constitute an audit under Government Auditing Standards and is considered nonaudit services. Management is responsible for overseeing and accepting responsibility for these services.

Planned Scope, Timing of the Audit, and Other

An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements; therefore, our audit will involve judgment about the number of transactions to be examined and the areas to be tested.

Our audit will include obtaining an understanding of the Authority and its environment, including internal control, sufficient to assess the risks of material misstatement of the financial statements and to design the nature, timing, and extent of further audit procedures. Material misstatements may result from (1) errors, (2) fraudulent financial reporting, (3) misappropriation of assets, or (4) violations of laws or governmental regulations that are attributable to the Authority or to acts by management or employees acting on behalf of the Authority. We will generally communicate our significant findings at the conclusion of the audit. However, some matters could be communicated sooner, particularly if significant difficulties are encountered during the audit where assistance is needed to overcome the difficulties or if the difficulties may lead to a modified opinion. We will also communicate any internal control related matters that are required to be communicated under professional standards.

We expect to begin our final audit fieldwork on approximately September 2020 and expect to issue our report approximately in November 2020. Joshua Calhoun is the engagement partner and is responsible for supervising the engagement and signing the report or authorizing another individual to sign it.

This information is intended solely for the use of the Board of Commissioners and management of the Santa Ana Watershed Project Authority and is not intended to be and should not be used by anyone other than these specified parties.

Very truly yours,

Teaman Ramirez & Smith, Inc.